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TITLE OF THE INVENTION
METHOD AND APPARATUS FOR SYNTHESIZING A CLOCK SIGNAL
HAVING A FREQUENCY NEAR THE FREQUENCY OF A SOURCE
CLOCK SIGNAL

10

CROSS REFERENCE TO RELATED APPLICATIONS
N/A

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STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR
DEVELOPMENT
N/A

BACKGROUND OF THE INVENTION

20 The present application relates generally to clock
synthesizers, and more particularly to a clock
synthesizer for synthesizing an output clock signal
having a frequency near that of a source clock signal.

Clock synthesizers are known for deriving one or
more output clock signals from a source clock signal.
25 Such clock synthesizers are employed in various
applications including error detection and/or correction
schemes used in the transmission of serial data. When
performing error detection and/or correction, a serial
data transmission system typically employs data encoding
30 and decoding techniques, in which one or more extra bits

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are added to an original data stream during transmission and subsequently removed from the data stream to recover the original data. In the serial data transmission system, a clock synthesizer typically generates a clock
5 signal having a stepped up frequency for use in increasing the transmission rate of the encoded data stream (i.e., the data stream including the extra bits). After the extra bits are removed to produce the decoded data stream, the clock synthesizer typically steps down
10 the clock frequency to return to the original data transmission rate.

However, using a clock synthesizer to generate desired stepped up/stepped down clock frequencies in a serial data transmission system can be problematic. For
15 example, the desired step up (step down) in frequency must be precisely performed to match the increase (decrease) of the data transmission rate after encoding (decoding) the data. For example, in the event the data encoding adds one extra bit for every 16 bits of the
20 original data stream, the ratio of the original data transmission rate to the stepped up clock frequency must be 16/17. Alternatively, in the event the data encoding adds one extra bit for every 32 bits of the original data stream, the ratio of the original data transmission rate
25 to the stepped-up frequency must be 32/33. The frequency difference between the clock signals employed with the original data stream and the encoded data stream can therefore be relatively small.

Conventional clock synthesizers capable of precise
30 frequency generation typically employ a Phase Locked Loop (PLL). However, PLL-based clock synthesizers have

drawbacks in that they are relatively costly and bulky and typically consume a significant amount of power. These drawbacks can preclude the implementation of PLL-based clock synthesizers within low-cost low-power
5 Integrated Circuits (ICs).

Alternative clock synthesizer configurations may include a counter capable of counting to N to generate a first clock frequency and effectively blanking out the Nth pulse to generate a reduced clock frequency. However,
10 such clock synthesizer configurations employing counters also have drawbacks in that they are typically only used for providing a step down in frequency and are generally not used in applications that require both stepped up and stepped down clock frequencies.

15 It would therefore be desirable to have an improved clock synthesizer that provides a desired step up/step down in clock frequency and avoids the drawbacks of conventional clock synthesizers.

20 BRIEF SUMMARY OF THE INVENTION

In accordance with the present invention, an apparatus and method of synthesizing a clock signal is disclosed that can be used for incrementally increasing/decreasing the frequency of an output clock
25 signal derived from a source clock signal, while allowing a relatively easy implementation within an Integrated Circuit (IC). Benefits of the presently disclosed clock synthesizer are achieved by employing a phase interpolator for effectively inserting (removing) at
30 least one cycle into (from) the source clock signal over a predetermined number of source clock cycles to derive

an output clock signal having a desired stepped up (stepped down) clock frequency.

In one embodiment, the clock synthesizer comprises a phase generator, a phase selector, a phase interpolator, and control circuitry for controlling the phase selector/interpolator. The phase generator is configured to receive a high speed clock signal, and to generate P ($P \geq 4$) evenly spaced phases of the source clock signal. The P phases of the source clock signal define P phase sectors spanning from 0° to 360° . The phase selector is configured to receive the P phases of the source clock signal, and to select respective pairs of phases such that each pair bounds a respective one of the P phase sectors. The phase interpolator is configured to receive the selected phases of the source clock signal, and to introduce at least one phase of the source clock signal between each pair of phases to provide Q evenly spaced phases of the source clock signal within each one of the P phase sectors. The phase selector/interpolator control circuitry comprises a Finite State Machine (FSM) clocked by a selected one of the P phases of the source clock signal. The FSM is operative to provide a plurality of control signals to the phase selector/interpolator to allow the phase interpolator to use the phases of the source clock signal for producing lagging or leading phase shifts of $360/P(Q-1)$, thereby generating an output clock signal having at least one clock cycle more (or less) than the source clock signal over a predetermined number of source clock cycles.

By employing a phase interpolator in a clock synthesizer for effectively inserting (removing) at least

one clock cycle into (from) a source clock signal over a predetermined number of source clock cycles, desired stepped up (stepped down) clock frequencies can be generated in a circuit configuration that is easily
5 implementable within an IC.

Other features, functions, and aspects of the invention will be evident from the Detailed Description of the Invention that follows.

10 BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

The invention will be more fully understood with reference to the following Detailed Description of the Invention in conjunction with the drawings of which:

Fig. 1 is a block diagram of a clock synthesizer
15 according to the present invention;

Fig. 2a is a schematic diagram of a phase generator included in the clock synthesizer of Fig. 1;

Fig. 2b is a timing diagram illustrating a plurality of clock phases generated by the phase generator of Fig.
20 2a;

Fig. 3 is a logic diagram of a phase selector/interpolator included in the clock synthesizer of Fig. 1;

Fig. 4 is a schematic diagram of a representative
25 implementation of the phase interpolator of Fig. 3;

Figs. 5a-5b are timing diagrams illustrating the operation of the clock synthesizer of Fig. 1, in which at least one clock cycle is effectively inserted into a source clock signal over predetermined numbers of source
30 clock cycles; and

Fig. 6 is a flow diagram illustrating a method of operating the clock synthesizer of Fig. 1.

DETAILED DESCRIPTION OF THE INVENTION

5 An apparatus and method of synthesizing a clock signal is provided that is capable of incrementally increasing/decreasing the frequency of an output clock signal derived from a source clock signal, while allowing a relatively easy implementation within an Integrated
10 Circuit (IC). The clock synthesizer employs a phase interpolator for effectively inserting (removing) at least one cycle into (from) the source clock signal over a predetermined number of source clock cycles to derive an output clock signal having a desired stepped up
15 (stepped down) clock frequency.

 Fig. 1 depicts an illustrative embodiment of a clock synthesizer 100, in accordance with the present invention. In the illustrated embodiment, the clock synthesizer 100 comprises a phase generator 102, a phase
20 selector/interpolator 106, and control circuitry 104 for controlling the phase selector/interpolator 106. As shown in Fig. 1, the phase generator 102 receives a high speed clock signal Clk_{in} , and provides four phases P_0 , P_{90} , P_{180} , and P_{270} of a source clock. In the presently
25 disclosed embodiment, the frequency of the high speed clock Clk_{in} is two times the frequency of the source clock.

 It should be noted, however, that the frequency of the high speed clock Clk_{in} may alternatively be four times
30 that of the source clock or any other suitable frequency higher than the source clock frequency. It is further

noted that the phases P_0 , P_{90} , P_{180} , and P_{270} comprise four essentially evenly spaced phases (90° apart) of the source clock. In the preferred embodiment, the phase generator 102 provides four or more evenly spaced phases
5 of the source clock. It should be understood that any other suitable number of evenly spaced phases of the source clock may alternatively be employed.

As shown in Fig. 1, the phase selector/interpolator 106 receives the phases P_0 , P_{90} , P_{180} , and P_{270} of the
10 source clock, and provides the output clock signal Clk_{out} having a desired stepped up or stepped down clock frequency. The control circuitry 104 receives a selected one of the four phases P_0 , P_{90} , P_{180} , and P_{270} via a line 110 along with an interpolation direction control signal
15 ("Direction"), and provides a plurality of control signals including Sector Codes SC_0 , SC_1 and Thermometer Codes TC_0 , TC_1 to the phase selector/interpolator 106, which employs the control signals SC_0 , SC_1 , TC_0 , and TC_1 to derive the output clock Clk_{out} from the phases P_0 , P_{90} ,
20 P_{180} , and P_{270} of the source clock.

Fig. 2a depicts an illustrative embodiment of the phase generator 102 included in the clock synthesizer 100 (see Fig. 1). As shown in Fig. 2a, the phase generator 102 includes a plurality of D-Flip-Flops (DFFs) 202-203
25 and an inverter 206, which are interconnected to function as a Toggle-Flip-Flop (TFF). The DFF 202 receives the high speed clock Clk_{in} at its Clock Pulse (CP) input, and the DFF 203 receives an inverted form of the source clock Clk_{in} at its CP input via the inverter 206. Further, the
30 not-Q (Q^*) output of the DFF 203 is fed back to the Data (D) input of the DFF 202, and the Q output of the DFF 202

is provided to the D input of the DFF 203. As a result, the phases P_0 and P_{180} of the source clock are provided at the Q and Q^* outputs, respectively, of the DFF 202, and the phases P_{90} and P_{270} of the source clock are provided at the Q and Q^* outputs, respectively, of the DFF 203.

Fig. 2b depicts graphical representations of the phases P_0 , P_{90} , P_{180} , and P_{270} of the source clock generated by the phase generator 102 (see Fig. 2a). As shown in Fig. 2b, the four phases P_0 , P_{90} , P_{180} , and P_{270} are essentially evenly spaced (90° apart). It is understood that the phases P_{90} , P_{180} , and P_{270} represent clock phases that are 90, 180, and 270 degrees offset, respectively, from the phase P_0 .

It should also be understood that the phases P_0 , P_{90} , P_{180} , and P_{270} of the source clock define four phase sectors spanning from 0° to 360° . Further, the phase selector/interpolator 106 (see Fig. 1) selects two of the four phases P_0 , P_{90} , P_{180} , and P_{270} and combines the selected phases to generate a phase within a respective sector that is a fixed number of degrees offset from the phase P_0 . For example, the phase selector/interpolator 106 may select and combine the phases 0° and 90° to generate a phase within a 1st sector; the phase selector/interpolator 106 may select and combine the phases 90° and 180° to generate a phase within a 2nd sector; the phase selector/interpolator 106 may select and combine the phases 180° and 270° to generate a phase within a 3rd sector; and, the phase selector/interpolator 106 may select and combine the phases 270° and 0° to generate a phase within a 4th sector. The above-mentioned Sector Codes SC0, SC1 are indicative of the sector in

which the phase selector/interpolator 106 is operating,
and the above-mentioned Thermometer Codes TC0, TC1
determine the weight that each of the phases P_0 , P_{90} , P_{180} ,
and P_{270} contributes to the interpolator output signal
5 Clk_{out} .

Fig. 3 depicts an illustrative embodiment of the
phase selector/interpolator 106 included in the clock
synthesizer 100 (see Fig. 1). As shown in Fig. 3, the
phase selector/interpolator 106 comprises a phase
10 selector 301 and a phase interpolator 303. The phase
selector 301 includes a plurality of multiplexors (MUXs)
304-305, which are configured to select two of the four
phases P_0 , P_{90} , P_{180} , and P_{270} based on the logical values
of the Sector Codes SC0, SC1 applied thereto. The phase
15 interpolator 303 includes a plurality of MUXs 306-307 and
associated output buffers 310-311. The MUXs 304-305
provide outputs P1-P2, respectively, to the MUXs 306-307,
each of which is configured to select between the outputs
P1-P2 based on the logical values of the Thermometer
20 Codes TC0, TC1 applied thereto. The MUXs 306-307 provide
their respective outputs to the buffers 310-311, the
outputs of which are combined to generate the output
clock signal Clk_{out} .

For example, in the event $SC0=SC1=0$, the MUX 304
25 provides the phase P_0 as its output P1 and the MUX 305
provides the phase P_{90} as its output P2. It is noted that
in the event $SC0=SC1=1$, the MUX 304 alternatively
provides the phase P_{180} as its output P1 and the MUX 305
alternatively provides the phase P_{270} as its output P2.
30 When the phase selector 301 provides the phases P_0 and P_{90}
to the phase interpolator 303, the phase interpolator 303

operates in the 1st sector. For clarity of discussion, it is assumed that the MUXs 304-307 and the output buffers 310-311 have zero delays associated therewith.

As a result, in the event $TC0=TC1=0$, the MUXs 306-
5 307 each provide the output P1, i.e., the phase P_0 , at their respective outputs, which are provided via the output buffers 310-311 as the output clock Clk_{out} . In the event $TC0=0$ and $TC1=1$, the MUXs 306-307 provide the outputs P1 (i.e., the phase P_0) and P2 (i.e., the phase
10 P_{90}) at their respective outputs, which are combined via the output buffers 310-311 to generate a phase half way between the phases P_0 and P_{90} (i.e., a phase P_{45}) as the output clock Clk_{out} . In the event $TC0=TC1=1$, the MUXs 306-307 each provide the output P2, i.e., the phase P_{90} ,
15 at their respective outputs, which are provided via the output buffers 310-311 as the output clock Clk_{out} .

Fig. 4 depicts a representative implementation 403
of the phase interpolator 303 (see Fig. 3). In the illustrated embodiment, the phase interpolator 403 is a
20 differential interpolator comprising a plurality of switching elements including n-channel transistors MN_1 - MN_{16} , a pair of pull-up resistors R1-R2, and inverters 410-411. The Thermometer Codes $TC0$ - $TC1$ are applied to the gates of the transistors $MN5$ - $MN6$ and $MN7$ - $MN8$,
25 respectively, and inverted forms of the codes $TC0$ - $TC1$ are applied to the gates of the transistors $MN9$ - $MN10$ and $MN11$ - $MN12$, respectively. Further, the inputs P1-P2 (see also Fig. 3) are applied to the gates of the transistors $MN1$ and $MN3$, and inverted forms of the inputs P1-P2
30 (i.e., $P1n$ - $P2n$) are applied to the gates of the transistors $MN2$ and $MN4$, respectively. Moreover, a

voltage V_{BIAS} is applied to the gates of the transistors MN13-MN16 for suitably biasing the differential interpolator 403. For example, in the event $SC0=SC1=0$ (i.e., $P1=P_0$ and $P2=P_{90}$) and $TC0=1$, $TC1=0$, the output
5 clock Clk_{out} is equal to P_{45} , which is half way between the phases 0° and 90° .

In the presently disclosed embodiment, the control circuitry 104 comprises a Finite State Machine (FSM; not shown) having a plurality of states, as defined in TABLE
10 1 below.

TABLE 1

<u>State</u>	<u>SC0</u>	<u>SC1</u>	<u>TC0</u>	<u>TC1</u>	<u>Phase at Clk_{out}</u>
0	0	0	0	0	P_0
1	0	0	1	0	P_{45}
2	0	0	1	1	P_{90}
3	1	0	1	1	P_{90}
4	1	0	1	0	P_{135}
5	1	0	0	0	P_{180}
6	1	1	0	0	P_{180}
7	1	1	1	0	P_{225}
8	1	1	1	1	P_{270}
9	0	1	1	1	P_{270}
10	0	1	1	0	P_{315}
11	0	1	0	0	P_0
0	0	0	0	0	P_0

Accordingly, the Sector Codes $SC0=SC1=0$ indicate that the phase interpolator 303 is operating in the 1st
15 sector comprising the states 0-2 and the phases P_0 , P_{45} , and P_{90} ; the Sector Codes $SC0=1$, $SC1=0$ indicate that the phase interpolator 303 is operating in the 2nd sector comprising the states 3-5 and the phases P_{90} , P_{135} , and P_{180} ; the Sector Codes $SC0=SC1=1$ indicate that the phase
20 interpolator 303 is operating in the 3rd sector comprising the states 6-8 and the phases P_{180} , P_{225} , and P_{270} ; and, the

Sector Codes SC0=0, SC1=1 indicate that the phase interpolator 303 is operating in the 4th sector comprising the states 9-11 and the phases P₂₇₀, P₃₁₅, and P₀.

Whereas the phases P₀, P₉₀, P₁₈₀, and P₂₇₀ are
5 originally generated by the phase generator 102 (see Fig. 2), the phases P₄₅, P₁₃₅, P₂₂₅, and P₃₁₅ are originated by the phase interpolator 303 (see Fig. 3) based on the logical values of SC0, SC1 and TC0, TC1 listed in TABLE 1 above. For clarity of discussion, the "Phases at Clk_{out}"
10 listed in TABLE 1 above are based on the assumption that the MUXs 304-307 and the output buffers 310-311 included in the phase selector/interpolator 106 (see Fig. 3) have zero delay.

It is noted that one of ordinary skill in the art
15 can design and implement a suitable FSM circuit for generating the values of SC0, SC1, TC0, and TC1 listed in TABLE 1 above. Such an FSM included in the control circuitry 104 (see Fig. 1) may be configured to change states every cycle of the clock provided on the line 110.
20 Thus, the Thermometer Codes TC0, TC1 may be updated every cycle of the clock, and the Sector Codes SC0, SC1 may be updated every third cycle of the clock. In the presently disclosed embodiment, the phase P₀ is provided as the clock for the FSM.

25 The clock synthesizer 100 (see Fig. 1) employs the phase selector/interpolator 106 for effectively inserting (removing) at least one cycle into (from) the source clock over a predetermined number of source clock cycles to derive the output clock Clk_{out} having the desired
30 stepped up (stepped down) clock frequency. In the illustrated embodiment, because the phase P₀ is employed

as the clock for the above-described FSM, the clock synthesizer 100 can effectively insert (remove) 1 cycle into (from) the source clock over 12 cycles of the source clock to derive the output clock Clk_{out} with the desired stepped up (stepped down) frequency.

Specifically, to derive the output clock Clk_{out} with a stepped up frequency, the FSM is controlled via a suitable value of the Direction control signal to successively transition to the next numerically lower state (e.g., states $11 \rightarrow 10 \rightarrow 9 \rightarrow \dots \rightarrow 1 \rightarrow 0 \rightarrow 11 \rightarrow 10 \rightarrow \dots$; see TABLE 1) every cycle of the phase P_0 . To derive the output clock Clk_{out} with a stepped down frequency, the FSM is controlled via a suitable value of the Direction control signal to successively transition to the next numerically higher state (e.g., states $0 \rightarrow 1 \rightarrow 2 \rightarrow \dots \rightarrow 10 \rightarrow 11 \rightarrow 0 \rightarrow 1 \rightarrow \dots$; see TABLE 1) every cycle of the phase P_0 .

Fig. 5a depicts a timing diagram illustrating the operation of the clock synthesizer 100 (see Fig. 1), in which 1 clock cycle is effectively inserted into the source clock over 12 source clock cycles to derive the output clock Clk_{out} with a stepped up frequency. As shown in Fig. 5a, the frequency of the high speed clock Clk_{in} is two times the frequency of the source clock. Further, the FSM is controlled to successively transition to the next numerically lower state, i.e., states $0 \rightarrow 11 \rightarrow 10 \rightarrow 9 \rightarrow 8 \rightarrow \dots$, during time intervals t_1-t_2 , t_2-t_3 , t_3-t_4 , t_4-t_5 , t_5-t_6 ,... respectively, every cycle of the phase P_0 . As a result, during states 0, 11, 10, 9, 8, and 7, the phase at the output clock Clk_{out} is P_0 (corresponding to the 1st sector); P_0 , P_{315} , and P_{270} (corresponding to the

4th sector); and, P₂₇₀ and P₂₂₅ (corresponding to the 3rd sector), respectively, in accordance with TABLE 1 above.

In effect, the clock synthesizer 100 produces a lagging phase shift of about $360/P(Q-1)=45$ degrees with each state transition within the respective sectors, in which P=4 (i.e., the number of sectors) and Q=3 (i.e., the number of phases of the source clock Clk_{in} within each sector), to produce the output clock Clk_{out} with the stepped up frequency. It is understood that the FSM may be controlled to successively transition to the next numerically higher state, i.e., states 0→1→2→3→4→..., to produce a leading phase shift of about 45 degrees with each state transition to produce the output clock Clk_{out} with a stepped down frequency.

As described above, the output clock Clk_{out} having the stepped up frequency is derived by controlling the FSM to successively transition to the next numerically lower state (e.g., states 11→10→9→...→1→0→11→10→...; see TABLE 1) every cycle of the phase P₀. It should be noted that the FSM may alternatively be configured so that a cycle of the phase P₀ is not required to transition from state 0 to 11, from state 9 to 8, from state 6 to 5, and from state 3 to 2.

As a result, as shown in Fig. 5b, the clock synthesizer 100 can effectively insert 1 cycle into the source clock over 8 cycles of the source clock to derive the output clock Clk_{out} with the desired stepped up frequency. The frequency of the high speed clock Clk_{in} is two times the frequency of the source clock, and the FSM is controlled to successively transition to the next

numerically lower state, i.e., states
0/11→10→9/8→7→6/5→..., during time intervals t_1-t_2 , t_2-t_3 , t_3-t_4 , t_4-t_5 , t_5-t_6 ,... respectively, every cycle of the
phase P_0 . It is noted that the state notation 0/11, 9/8,
5 6/5, and 3/2 is employed to indicate that the states in
each state pair, i.e., states 0 and 11, states 9 and 8,
states 6 and 5, and states 3 and 2, effectively
correspond to the same state. As shown in Fig. 5b, the
clock synthesizer 100 produces a lagging phase shift of
10 about 45 degrees with each state transition to produce
the output clock Clk_{out} with the stepped up frequency. It
is understood that the clock synthesizer 100 may
effectively remove 1 cycle from the source clock over 8
cycles of the source clock to derive the output clock
15 Clk_{out} with the desired stepped down frequency.

A method of operating the presently disclosed clock
synthesizer is illustrated by reference to Fig. 6. As
depicted in step 602, a phase generator receives a high
speed clock Clk_{in} and generates P ($P \geq 4$) evenly spaced
20 phases of a source clock therefrom, defining P phase
sectors. A phase selector then receives the P phases of
the source clock and successively selects, as depicted in
step 604, respective pairs of phases such that each pair
bounds a respective one of the P phase sectors. Next, a
25 phase interpolator successively receives the selected
phases of the source clock and introduces, as depicted in
step 606, one or more phases of the source clock between
each pair of phases to provide Q evenly spaced phases
within each sector. Finally, the phase interpolator
30 uses, as depicted in step 608, the phases of the source

clock to produce lagging (leading) phase shifts of about $360/P(Q-1)$ degrees, thereby generating an output clock Clk_{out} having at least one clock cycle more (i.e., a stepped up frequency) or at least one clock cycle less (i.e., a stepped down frequency) than the source clock over a predetermined number of source clock cycles.

Having described the above illustrative embodiment, other alternative embodiments or variations may be made. For example, it was described that the clock synthesizer operates within 4 phase sectors of the source clock signal, and that the phase interpolator generates 3 phases of the source clock within each sector. However, in an alternative embodiment, the clock synthesizer may operate in a greater number of sectors and the phase interpolator may generate more phases of the source clock within each sector to make resulting phase jumps in the interpolator output signal less abrupt. It was further described that the FSM is driven by a selected one of the four phases P_0 , P_{90} , P_{180} , and P_{270} . It is understood, however, that the output of the phase interpolator may alternatively be used to drive the FSM. Moreover, it was described that the FSM is controlled to successively transition to the next numerically lower or higher state every cycle of the phase P_0 . However, the FSM may alternatively be configured to transition to the next state on both the rising and the falling edges of the control circuitry clock to reduce jitter in the interpolator output signal. It should be appreciated that the phase generator may comprise a ring oscillator or a coupled LC oscillator that is part of a Phase Locked

Loop (PLL) or a Delay Locked Loop (DLL), or any other suitable type of phase generator.

It will further be appreciated by those of ordinary skill in the art that modifications to and variations of the above-described method and apparatus for synthesizing a clock signal having a frequency near the frequency of a source clock signal may be made without departing from the inventive concepts disclosed herein. Accordingly, the invention should not be viewed as limited except as by the scope and spirit of the appended claims.